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**COPY***AW*OSMM&N File No. 211739US0XDept.: PPBy: NFO/shbSerial No. 09/919.854

In the matter of the Application of: Mike FARWICK, et al.

For: NUCLEOTIDE SEQUENCES WHICH CODE FOR THE SAHH GENEDue Date: 06/26/03

The following has been received in the U.S. Patent Office on the date stamped hereon:

☒ Dep. Acct. Order Form☒ Information Disclosure Statement☒ PTO-1449☒ Cited References (1)

**COPY**

Docket No. 211739US0X/shb

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Mike FARWICK, et al.

SERIAL NO: 09/919,854

GAU: 1645

FILED: August 2, 2001

EXAMINER:

FOR: NUCLEOTIDE SEQUENCES WHICH CODE FOR THE SAHH GENE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

22850

Norman F. Oblon

Registration No. 24,618

Tel. (703) 413-3000  
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(OSMMN 05/03)

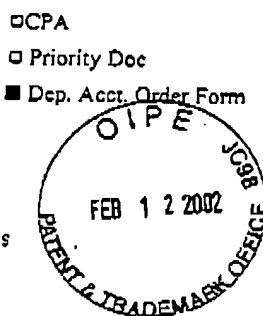
**COPY**OSMM&N File No. 211739US0X

Dept: PP

Serial No. 09/919 854By: NEO/lnIn the matter of the Application of: Mike FARWICK et al.For: NUCLEOTIDE SEQUENCES WHICH CODE FOR THE sahH GENE

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ pp. Specification & Claims/Drawings      Sheets
- ☐ Combined Declaration, Petition & Power of Attorney      pages
- ☐ List of Inventor Names and Addresses
- ☐ Utility Parent Application
- ☐ Notice of Priority
- ☐ Check for
- ☐ Fee Transmittal Form
- ☐ Assignment/PTO-1595 pages:
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings      sheets ☐ Formal
- ☐ Letter
- ☐ Amendment
- ☒ Information Disclosure Statement      ☒ PTO-1449  
**FILED WITHOUT OFR**
- ☒ Cited References ( 8 )
- ☒ INTERNATIONAL Search Report
- ☐ Statement of Relevancy
- ☐ English Abstracts, Concise Explanation, English Translation, Partial English Translation ( )
- ☐ IDS/Related/List of Related Cases
- ☐ Restriction Response
- ☐ Rule 132 Declaration
- ☐ Petition for Extension of Time
- ☐ CPD Pending Applications ( )
- ☐ Election Response



Due date: 02/15/2002

**COPY**

Docket No. 211739US0X/In

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Mike FARWICK, et al.

SERIAL NO: 09/919,854

GAU:

FILED: August 2, 2001

EXAMINER:

FOR: NUCLEOTIDE SEQUENCES WHICH CODE FOR THE sahH GENE

**INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97**ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references cited in the attached International Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☒ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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MAIER & NEUSTADT, P.C.Norman F. Oblon  
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(OSM/MN 10/00)

**COPY**OSMM&N File No. 211739US0XDept.: -C-By: NFO:DJP:mmsSerial No. 09/919.854In the matter of the Application of: Mike FARWICK et al.For: NUCLEOTIDE SEQUENCES WHICH CODE FOR THE SAHH GENE

The following has been received in the U.S. Patent and Trademark Office on the date stamped hercon:

- ☐ \_\_\_\_\_ pp. Specification \_\_\_\_\_ Claims/Drawing \_\_\_\_\_ Sheets  
and \_\_\_\_\_ pages Application Data Sheet
- ☐ Combined Declaration, Petition & Power of Attorney (\_\_\_\_ pp.)
- ☐ List of Inventor Names and Addresses
- ☐ Utility Patent Application Transmittal
- ☐ Notice of Priority
- ☐ Check for
- ☐ Fee Transmittal Form
- ☐ Assignment/Form PTO 1595 pages: \_\_\_\_\_
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings \_\_\_\_\_ sheets ☐ Formal
- ☐ Letter
- ☐ Amendment
- ☒ Information Disclosure Statement
- ☒ Cited References (6)
- ☐ Search Report
- ☒ Statement of Relevancy
- ☐ IDS/Related/List of Related Cases
- ☐ Restriction Response
- ☐ Declaration under 37 C.F.R. §1.132
- ☐ Request for Extension of Time
- ☐ Notice of Appeal
- ☐ Brief
- ☐ Issue Fee Transmittal
- ☐ White Advance Serial Number Card
- ☐ Small Entity Status is Claimed
- ☒ Form PTO-1449
- ☐ Cited Pending Applications
- ☐ Election Response



DUE DATE: 11/16/01

**COPY**

Docket No.: 211739US0X

Re: In re Application of: Mike FARWICK et al.  
Serial No.: 09/919,854  
Filing Date: August 2, 2001  
For: NUCLEOTIDE SEQUENCES WHICH CODE FOR  
THE SAHH GENE

**STATEMENT OF RELEVANCY****Reference AQ on form 1449:**

Applicants wish to particularly point out the disclosure of SEQ ID NO: 219 on page  
317.

**Reference AT on form 1449:**

Applicants wish to particularly point out the disclosure of SEQ ID NO: 253 on page  
344.